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PTO-1390 (Rev. 12-2005)
Approved for use through 3/31/2007 OMB 0657-0021
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TRANSMITTAL LETTER TO THE UNITED STATES						ATTORNEY'S DOCKET NO. 11226/009			
DESIGNATED/ELECTED OFFICE (DO/EO/US)									
(CONCERNING A SUBMISSION UNDER 35 U.S.C. 371								
			INTERNATIONAL 24 March 2004 (24		PRIORITY DATE CLAIMED 25 March 2003 (25.03.2003)				
	TITLE OF INVENTION SUBMERGED WATER CURRENT TURBINES INSTALLED ON A DECK								
APF	PLICA	NT(S) FC	R DO/EO						
App	FRAENKEL, Peter Leonard Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1.	\boxtimes					ing under 35 U.S.C. 37			
2.		This is a	SECOND	or SUBSEQ	UENT submission of	fitems concerning a filin	ng under 35 U.S.C. 371		
3.	□ (21)	This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and 21) indicated below.							
4.	\boxtimes	The US I	nas been e	elected (Articl	le 31).				
5.	\boxtimes	А сору о	f the Interr	national Appli	ication as filed (35 U	.S.C. 371(c)(2)).			
		a.		is transmitte	ed herewith (required	d only if not transmitted	by the International Bureau).		
		b.	\boxtimes	has been tr	ansmitted by the Inte	ernational Bureau.			
		C.		is not requi	red, as the application	on was filed in the Unite	ed States Receiving Office (RO/US).		
6.		An Englis	sh translat	ion of the Inte	emational Application	n into English (35 U.S.0	C. 371(c)(2)).		
		a.		is attached	hereto.				
		b.		has been p	reviously submitted	under 35 U.S.C. 154(d))(4).		
7.	\boxtimes	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).							
		a. are transmitted herewith (required only if not transmitted by the International Bureau).							
		b. have been transmitted by the International Bureau.							
		C.		have not be	een made; however,	the time limit for making	g such amendments has NOT expired.		
		d.	\boxtimes	have not be	en made and will no	ot be made.			
8.		An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10.		An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)) and/or amendments under Article 34.							
Iten	ns 11	to 20 Bel	ow conce	rn other do	cument(s) or inform	nation included:			
11.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13.	\boxtimes	A preliminary amendment.							
14.		An Application Data Sheet under 37 CFR 1.76.							
15.		A substitute specification.							
16.		A power of attorney and/or change of address letter.							
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.							
18.		A second copy of the published international application under 35 U.S.C. 154(d)(4).							
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20.		Other items or information:							
ı									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 12 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of infromation unless it displays a valid OMB control number. U.S. APPLICATION NO. (If known see 37 @F.R. 150) INTERNATIONAL APPLICATION NO. #CT/GB2004/001284 ATTORNEY'S DOCKET NO. 11226/009 CALCULATIONS PTO USE ONLY The following fees are submitted: \$300.00 .\$300.00 21. Basic national fee 22. X Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of \$200.00 PCT Article 33(1)-:(4)......\$100.00 23.
Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100.00 International Search Report prepared and provided to the Office.....\$400.00 \$400.00 All other situations \$900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or RATE fraction thereof (round up to a whole number) x \$250 /50 = - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). Number Filed Number Extra Rate Claims **Total Claims** 19 - 20 = 0 x \$ 50..00 x \$ 200.00 Independent Claims 2 - 3 = 0 + \$360.00 Multiple dependent claim(s) if Applicable) 0 TOTAL OF ABOVE CALCUATIONS = \$900.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced (\$450.00) \$450.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than the 🔲 30 months from the earliest claimed priority date (37 CFR 1.492(f)) TOTAL NATIONAL FEE= \$450.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property + TOTAL FEES ENCLOSED= \$450.00 Amount to be refunded Amount to be S. charged A check in the amount of \$ to cover the above fees is enclosed. a. Please charge my Deposit Account No. 23-1925 in the amount of \$450.00 to cover the above fees. A duplicate copy of this \boxtimes b. sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to \boxtimes C. Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. d. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. Send All Correspondence to: A. James Richardson, Esq. Brinks Hofer Gilson & Lione One Indiana Square, Suite 1600 Indianapolis, IN 46204 Signature A. James Richardson Name

<u>26,98</u>3

Registration Number

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: patent application of)
FRAENKEL, Peter Leonard) Examiner: Unknown
Serial No.: Not yet Assigned (PCT/GB2004/001284)) Group Art Unit: Unknown)
Filed: HEREWITH (Intl. filing date 24.March.2004))
Title: SUBMERGED WATER CURRENT TURBINES INSTALLED ON A DECK)))
Our File No. 11226/009)

Certificate of Mailing Under 37 C.F.R. 1.10

MAIL STOP PCT The Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Postage Prepaid, Express Mail Label No. EV793320729US in an envelope addressed to: The Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, September 14, 2005.

Carolyn Beason-Wright

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